



# Systematic Investment Plan Form

(Debit Mandate Form NACH/ ECS/ Direct Debit)

Distributor's ARN/ RIA Code' <b>ARN-141775</b>	Sub-Broker's ARN <b>Wealth Munshi</b>	Sub-Broker's Code	EUIN <b>E231895</b>
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\*By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/ our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.  
**Declaration for "Execution-only" transactions (only where EUIN box is left blank)**  
 "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

Rupee Cost Averaging - Take the guesswork out of timing the market

Month	Monthly investment in Rs.	Cost per unit Rs.	No. of units bought	Lumpsum investment in Rs.	No. of units bought
1	5,000	12	417	20,000	1,667
2	5,000	10	500		Average cost per unit Rs.12
3	5,000	11	455		
4	5,000	13	385		
<b>Total Units</b>	<b>20,000</b>		<b>1,757</b>		<b>Average cost per unit Rs.11.4</b>

SIGNATURE(S)

Sole / First Applicant	Second Applicant	Third Applicant
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**TRANSACTION CHARGES for Applications routed through distributor/agents only (Kindly refer Transaction Charges under the heading 'Checklist' for details)**

**REQUEST FOR:**

Registration of SIP       Registration of MICRO SIP       Renewal of SIP

Change in Bank details       Any other request

Just by investing a little everymonth, you average out the market highs & lows  
 The above illustration is hypothetical & only to explain the concept of Rupee Cost Averaging & should not be construed as expected return or an investment advice

**INVESTOR'S INFORMATION**

Folio No.	Application No. (For New Investors, pls. attach the application form)	
<b>Sole/ First Applicant</b>	<b>Second Applicant</b>	<b>Third Applicant</b>
Name of Applicant	Name of Applicant	Name of Applicant
PAN	PAN	PAN
Date of Birth	Date of Birth	Date of Birth
CKYC No.	CKYC No.	CKYC No.
E-mail	E-mail	E-mail

**I would like to opt for Systematic Investment Plan**

Scheme  Plan

Option  Growth  Dividend :  Payout  Re-investment

Dividend : Frequency

Investment Frequency (Please ✓)  Monthly  Quarterly      SIP Period From  To  OR  Default Date (December 2099)

SIP Amount (✓) Rs.  20000  10000  5000  1000  Any other amount  Rs.      First SIP vide Cheque No.  Dated  DD / MM / YYYY

SIP Date (✓)  1st  7th  10th  14th  15th  21st  25th  28th  30th

**SIP BOOSTER** (Optional) (Please refer instructions overleaf)

Frequency (Please ✓)  Half Yearly  Yearly

Fixed Booster Amount (Rs.)  3000  1000  500  Any other amount  Rs. (Minimum Rs. 500 and in multiples of Rs. 500 thereof)

Variable Booster Amount (%)  20%  15%  10%  Any other percentage  % (Minimum 10% and in multiples of 5% thereof)

SIP Booster Cap Amount  Rs.

Booster CAP Amount: Investor has an option to freeze the SIP Booster amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be same as the maximum amount mentioned by the investor in the NACH Debit Mandate Form. In case of difference between the CAP Amount & the maximum amount mentioned in NACH Debit Mandate Form, then amount which is lower of the two shall be considered as the default amount of SIP CAP Amount.

**Declaration and Signature**

I/We have read and understood the contents of the SAI/ SID of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I/We authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or banks. I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment. By ticking micro sip, I/We hereby declare that our total SIP for rolling 12 months or FY April to March does not exceed Rs. 50,000 through this application or any existing SIP in the schemes. I/We also declare that the ARN Holder has disclosed all commission (in the form of trail commission or any other mode) payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

SIGNATURE(S)

Sole / First Account Holder	Second Account Holder	Third Account Holder
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To be signed by All Applicant's if mode of operation is "Joint". (As in Bank Records)

**Debit Mandate Form NACH/ ECS/ Direct Debit**

UMRN  F o r o f f i c e u s e      Date

Sponsor Bank Code  For Office Use      Utility Code  For Office Use

TICK (✓)  
 CREATE      I/We hereby authorize  **Kotak Mutual Fund** to debit (tick ✓)  SB  CA  CC  SB-NRE  SB-NRO  Other  
 MODIFY  
 CANCEL

Bank a/c number

with Bank  Name of Customers bank      IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY  Mthly  Qytr  H-Yrly  Yrly  As & when presented      DEBIT TYPE  Fixed Amount  Maximum Amount

Reference 1  Folio Number      Phone No.

Reference 2  Application Number      Email ID

**I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.**

PERIOD  
 From

To

Or  Until Cancelled

Signature Primary Account holder \_\_\_\_\_ Signature of Account holder \_\_\_\_\_ Signature of Account holder \_\_\_\_\_

1. Name as in Bank records      2. Name as in Bank records      3. Name as in Bank records

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.